

Anti-Money Laundering (AML) Disclosure (Verifying your identity)

(11/8/24)

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. In some cases, TrustFirst may also take additional steps to verify the identities of individuals with authority or control over the registered owner, including person(s) able to effect securities transactions on behalf of the registered owner.

What this means for you:

When you open an account, TrustFirst will ask for your name, address, date of birth, identification number and other information that will allow us to identify you as an individual, a business or other legal entity. TrustFirst may also ask you to provide government issued identifying documents. The information you provide may be used to perform a credit check and verify your identity by using internal sources and third-party vendors. The information also helps us comply with various securities regulations and rules as well as the USA PATRIOT Act.

Regulatory rules may also require us to obtain additional information, such as your net worth, annual income, occupation, employment information, investment experience, investment objectives and risk tolerance.

To the extent permitted by applicable law, TrustFirst reserves the right to place limits on transactions in any account until the identity of the investor is verified. If the investor's identity cannot be verified, TrustFirst may be required to deny your account.